**PROSPECTIVE CUSTOMER REGISTRATION FORM**

The customer acknowledges and agrees that by participating in a tender of diamonds offered by Lucara Diamond Corp.’s (“Lucara”) subsidiary, Boteti Mining (Pty.) Limited (“Boteti”), it shall be subject to and shall comply with the Terms and Conditions that are posted on the online tender platform at the time of the tender (the “Terms and Conditions”).

In order to be able to participate to a Lucara/Boteti diamond sale and become a registered customer, please kindly complete the registration form and email a signed copy along with all accompanying documentation to **registration@lucaradiamond.com**.

Submitted information and documentation will be treated with the utmost confidentiality.

1. **COMPANY DETAILS**

|  |  |
| --- | --- |
| 1. **Registered company name:** |  |
|  |  |
| 1. **Registered company address:** |  |
|  |  |
| 1. **Correspondence address:**   *(if different from above)* |  |
|  |  |
| 1. **Physical delivery address:**   *(if different from above)* |  |
|  |  |
| 1. **Telephone number:** |  |
|  |  |
| 1. **Facsimile number:** |  |
|  |  |
| 1. **Company website:** |  |
|  |  |
| 1. **Company registration number:** |  |
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| 1. **Name of parent company:**   *(if applicant is a group subsidiary)* |  |
|  |  |
| 1. **Head office location:**   *(if applicant is a group subsidiary)* |  |

1. **KEY INDIVIDUALS IDENTITY**
2. **Ultimate beneficiary(ies):**

*Please list every minority stake above 5% shareholding.*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Individual(s) | Stake *(in %)* | First and family names | Country of residence | Passport | | |
| Nationality | Number | Expiry date |
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| Legal entity(ies) | Stake *(in %)* | Full company name | Registered address | | Registration number | |
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*Remarks (if required):*

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1. **Company directors and/or partners:**

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| --- | --- | --- | --- | --- | --- |
| First name | Family name | Country of residence | Passport | | |
| Nationality | Number | Expiry date |
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*Remarks (if required):*

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1. **FINANCIAL TRANSPARENCY**
2. **CFO / Finance manager details:**

|  |  |
| --- | --- |
| First name: |  |
| Family name: |  |
| Email address: |  |
| Mobile number: |  |
| Telephone number: |  |
| Facsimile number: |  |

1. **Bank details:**

|  |  |  |
| --- | --- | --- |
|  | Primary bank account | Secondary bank account  *(if applicable)* |
| Bank name: |  |  |
| Bank address: |  |  |
| Bank sort code: |  |  |
| Swift code: |  |  |
| Account number: |  |  |
| IBAN number: |  |  |
| Account relationship manager at bank: | | |
| First name: |  |  |
| Family name: |  |  |
| Email address: |  |  |
| Office phone number: |  |  |

*Remarks (if required):*

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1. **ATTENDENCE & PARTICIPATION TO THE DIAMOND SALES**
2. **List of authorised representatives for inspection:**

*A maximum of six representatives can be pre-registered to attend the viewings. A maximum of four pre-registered representatives can attend a viewing and inspect the offered lots at the same time.*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| First name | Family name | Country of residence | Passport | | |
| Nationality | Number | Expiry date |
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1. **Access to the online bidding platform:**

*Only primary and secondary contacts will be granted access to the online tender platform and will be able to submit bids.*

|  |  |  |
| --- | --- | --- |
|  | Primary contact | Secondary contact  *(if applicable)* |
| First name: |  |  |
| Family name: |  |  |
| Email address 1: |  |  |
| Email address 2:  *(facultative)* |  |  |
| Mobile number 1: |  |  |
| Mobile number 2:  *(facultative)* |  |  |
| Telephone number: |  |  |
| Facsimile number: |  |  |

*The above names are provided for approval, which may be withheld at the discretion of Lucara or Boteti.*

*If the company wishes to modify an approved authorised representative and/or contact, a request for the change must be provided in writing with the required individual’s identification documents to* ***registration@lucaradiamond.com****.*

1. **COMPANY PROFILE**

*The information compiled under this section will be used to better identify your requirements and for statistical purposes in order to collect insightful market feedback.*

1. **Areas of rough interest:**

|  |  |  |
| --- | --- | --- |
| Size: | Model/Quality: | Colour: |
| +50ct.  +10.8ct.  5-10ct.  2.5-4ct.  4-8grs.  3grs./+7  -7 | Sawable  Makeable  Makeable/Clivage  Clivage/Near Gem  Fancy Shape  Flat/Long  Rejection/Boart | White  Coloured  Brown  Fancy Coloured |

1. **Company core activity:**

|  |  |
| --- | --- |
| Exclusively rough trading  Generic manufacturing  Niche manufacturing | Rough sourcing arm of a manufacturer  Financing & lending  Other: |

1. **Company (or group company, if applicable) primary sources’ contract holder:**

|  |  |
| --- | --- |
| Alrosa Alliance customer  De Beers Accredited Buyer  De Beers Sightholder | Dominion contract customer  Rio Tinto Select Diamantaire  None |

1. **Company (or group-consolidated, if applicable) annual turnover:**

|  |  |
| --- | --- |
| Below $10m.  $11-50m.  $51-100m. | $101-200m.  $201-500m.  Above $500m. |

|  |  |
| --- | --- |
| 1. **Affiliate offices location(s):**   *(if applicable)* |  |

|  |  |
| --- | --- |
| 1. **Manufacturing location(s):**   *(if applicable)* |  |

1. **Brief description of the company:**

|  |
| --- |
|  |

1. **REQUIRED DOCUMENTATION**

*Please tick the check boxes below confirming attachments.*

**The following documents require to be submitted together with the registration form:**

|  |  |
| --- | --- |
|  | Notarised or certified copy of the company certificate of incorporation  *(in EN, FR or NL, alternatively accompanied by a notarised or certified English translation)* |
|  | Company group organogram, including shareholder’s structure |
|  | Copy of diamond bourse or trade association membership if applicable |
|  | Passport copies for all ultimate beneficiaries, directors & authorised representatives |
|  | Valid Botswana Precious Stones Dealers’ Licence  *(If not already held, our staff may help you to go through the licence’s application process.)* |

1. **DECLARATION**

*Please tick the check boxes below confirming the declaration.*

|  |  |  |  |
| --- | --- | --- | --- |
| I, |  | , as an ultimate beneficiary, director and/or authorised representative of | |
|  | | | , having the legal capacity to sign this registration form, do hereby |
| acknowledge that by signing this declaration, I confirm the following: | | | |

|  |  |
| --- | --- |
|  | This registration form is complete and all information provided is true; |
|  | All supporting attached documents are authentic, current and accurate; |
|  | None of the company’s ultimate beneficiaries, directors and/or authorised representatives have been previously expelled from and/or denied access to any diamond sale previously organised by Lucara/Boteti or any other company selling diamonds. |

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| --- | --- | --- | --- |
|  |  |  | Company Stamp |
| Name: |  |  |  |
| Position: |  |  |
| Date: |  |  |
| Signature: |  |  |